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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: March 31, 2008 <i>(use as many sheets as necessary)</i> | | | | Application Number | 10/737,298 |
| | | | | Filing Date | 12/16/2003 |
| | | | | First Named Inventor | John L. Kemper |
| | | | | Art Unit | 3691 |
| | | | | Examiner Name | Hamilton, Lalita M. |
| Sheet | 1 | of | 1 | Attorney Docket Number | 037607-0251 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|--|--------------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | A1 | US 2004/0030649 | 02-12-2004 | Nelson et al. | |

| UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | U.S. Patent Application Document | Filing Date of Cited Document MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| NON PATENT LITERATURE DOCUMENTS | | | |
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| | A2 | Business Wire, Visible Markets Launches World's First Internet-Based Auction Marketplace for Mortgage Backed and Asset Backed Securities, June 26, 2000. | |
| | A3 | International Search Report for International Application PCT/US03/37328, mail date December 27, 2004, 2 pages. | |
| | A4 | International Search Report for International Application PCT/US03/37391, mail date November 15, 2004, 2 pages. | |
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| Examiner Signature | /Lalita Hamilton/ (08/12/2008) | Date Considered | |
|--------------------|--------------------------------|-----------------|--|

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